Privacy Policy
Contents

Privacy policy notice .................................................................................................................................................. 3
What information do we collect? .............................................................................................................................. 3
What information do we disclose? ............................................................................................................................ 3
Who may we disclose your information to? ............................................................................................................ 3
How do we treat inactive or closed accounts? .......................................................................................................... 4
Will you be able to opt-out of information that we provide to our affiliate and non-affiliated third parties? .................................................................................................................................................. 4
Revised Policy Notes ............................................................................................................................................... 4
Confidentiality and security ..................................................................................................................................... 4
Privacy policy notice

TradeZero, Inc (TZI) believes you should understand how we treat our customers’ non-public personal information, and how we use this information to provide products and services to you at your request.

TZI owes a duty of care to our customers to ensure we collect and secure the data provided to us. This notice, which you should read carefully, addresses our privacy policies and practices at TZI.

What information do we collect?

We collect non-public personal information about you from the following sources:

- Information we receive from you on applications or other forms (i.e., name, address, social security number)
- Information about your transactions with us, our affiliates, or other (i.e. to verify account balance and to check on deposits sent to our clearing firm); and
- Information we received from you through correspondence (i.e., mail faxes, electronic correspondence, etc).

What information do we disclose?

WE DO NOT DISCLOSE ANY NON-PUBLIC PERSONAL INFORMATION ABOUT YOU TO ANYONE, EXCEPT AS PERMITTED BY LAW.

Personal data is not to be disclosed without the prior authority of the individual or leave of the courts and/or relevant legal and regulatory agencies. In addition, TZI does not sell or lease any non-public personal information about you to anyone.

We may disclose any non-public personal information, as permitted by law, to effect, service administer or process a transaction, product or service you authorized or requested; to protect against fraud, liability or damages to property; to respond to judicial process; in connection with settling a transaction; or by order or request of the Securities Commission of the Bahamas, the Financial Intelligence Unit of the Bahamas or any legal or regulatory entity.

Who may we disclose your information to?

We may disclose non-public personal information under circumstances described above in paragraph 2 to the following parties:

- Vision Financial Markets LLC; and
- Non-affiliated third parties as permitted by law. Examples include:
How do we treat inactive or closed accounts?

If you decided to close your account(s) or become an inactive customer, we will adhere to the privacy policies and practices as described in this notice.

Will you be able to opt-out of information that we provide to our affiliate and non-affiliated third parties?

We disclose non-public personal information only when it is both permitted by law and required for the ordinary course of business. Therefore, you will not be able to opt-out of the disclosure of non-public personal information that we provide to our affiliate and non-affiliated third parties.

Revised Policy Notes

If we decide to change our privacy policy, we will notify you immediately by providing you with a copy of our revised privacy policy.

Confidentiality and security

We restrict access to non-public information about you to those employees who need to know that information to provide products or services to you. We maintain physical, electronic, and procedural safeguards that comply with federal standards to guard your non-public personal information.

If you have any questions about security, please feel free to contact us at support@tradezero.co.